



Loss of Investor Rights Statement

**Version 7
31 January 2023**

Loss of Investor Rights

Mori Capital Management Limited ("Mori", "the Company", "we", "us") is an investment management company incorporated in Malta and specialised in emerging markets. The Company is currently authorised and regulated by the Malta Financial Services Authority ("MFSA") under license number MORI-IF-10972.

The Investor Compensation Scheme is a rescue fund for clients of failed investment intermediaries which are licensed by the MFSA. Clients may have certain rights and could be entitled to compensation if the conditions under the Investor Compensation Scheme Regulations are satisfied. One of the conditions for claiming under this scheme is that the claim relates to monies entrusted to the Company otherwise than in the course of business, trade or profession.

A client that is classified as a Professional Investor may not be allowed to submit claims under the Investor Compensation Scheme and he/she may lose the protections afforded by the Conduct of Business Rules contained in the Investment Services Rules for Investment Services Providers and investor compensation rights.

The Company has verified to the extent possible, and the Client acknowledges, that the Client possesses the necessary expertise, experience and knowledge to be treated as a professional client, and is reasonably capable of making their own investment decisions and understand the risks involved. The Client hereby acknowledges that the Company shall not be held responsible for the lack of expertise, experience and knowledge of the Client, or in respect of the investment decisions made by the Client.

The Company has an absolute discretion to decide whether to treat the Client as a Professional Client or otherwise, and may change the Client's Categorisation at any time. The Company hereby accepts to treat the Client as a Professional Client.

The Client shall inform the Company without delay of any change in circumstances which could affect his client categorisation. This agreement may be terminated by the Company in writing by giving one week notice of such intention.